DOCKET NO. : CC-3169

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael Alexander Cochran, Richworth Folland, James William Nicholas, Melvin Edward Riddell Robinson

Serial No.: Not yet assigned Group Art Unit: Not yet assigned

Filing Date: Herewith Examiner: Not yet assigned

For: IMPROVEMENTS IN AND RELATING TO PACKAGING

EXPRESS MAIL LABEL NO: EL568089441US DATE OF DEPOSIT: October 30, 2001

Box	□ Patent Application	EL568089441US
	☐ Provisional ☐ Design	
Assist	ant Commissioner for Patents	

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Washington DC 20231

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## PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find

 $\boxtimes$ A Utility Patent Application under 37 C.F.R. 1.53(b).

It is a continuing application, as follows:

 $oxed{\boxtimes}$  continuation  $oxed{\Box}$  divisional  $oxed{\Box}$  continuation-in-part of prior application number 09/504,793 filed 2/15/01 (CC-3168) which is a continuation of SN 09/244,463 filed 2/5/99 (cc-3166- abandoned) which is a divisional of 08/844,515 filed 4/18/97 Patent No. 5,955,527 (cc3163) which is a divisional of SN 08/472,841 filed 6/7/95 Patent No. 5,639,815 (cc-3161) which is a continuation of SN 08/061,939 filed 5/17/93 (cc-3158- abandoned) which is a divisional of SN 07/668,906 filed 3/13/91 (cc-3151abandoned) which is a divisional of SN 07/340.416 filed 3/23/89 Patent No. 5.021,515 (cc-3135) which is a 371 of PCT/GB88/00532 filed 7/6/88 which claims priority to Great Britain application no. 8717754 filed on 7/27/87 and Great Britain

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				o. 8805931 filed 3/12/88 and Great Britain application no. 8806752 filed Great Britain application no. 8815699.7 filed 7/1/88.		
		A Provisional Patent Application under 37 C.F.R. 1.53(c).				
		A Design Patent Application (submitted in duplicate).				
		Request for Nonpublication. The invention(s) disclosed in the present application filed herewith has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing. Thus, pursuant to 35 U.S.C. §122(b)(2)(B)(i), Applicant(s) request that the above-identified patent application not be published.				
	Includ	ling the	followi	ng:		
		Provi	sional A	application Cover Sheet.		
		New	or Revis	sed Specification, including pagestocontaining:		
			Specif	fication		
			Claim	S		
			Abstra	act		
				itute Specification, including Claims and Abstract.		
				The present application is a continuation application of Application Nofiled The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Since none of those amendments incorporate new matter into the parent application, the present revised Specification also does not include new matter.		
				The present application is a continuation application of Application Nofiled, which in turn is a continuation-in-part of Application Nofiled The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Although the amendments in the parent C-I-P application may have incorporated new matter, since those are the only revisions included in the present application, the present application includes no new matter in relation to the parent application.		

$\boxtimes$	A copy of earlier application Serial No. <u>09/504,793 filed 2/15/01 (CC-3168)</u> ,
	including Specification, Claims and Abstract (pages 1 - 44), to which no new matter has been added TOGETHER WITH a copy of the executed oath or declaration for such earlier application and all drawings and appendices. Such earlier application is hereby incorporated into the present application by reference.
⋈	Discourant the following amond to the Consideration and the Const.
	Please enter the following amendment to the Specification under the Cross-Reference to Related Applications section (or create such a section): "This Application:
	, , ,
	☑ is a continuation of ☐ is a divisional of ☐ claims benefit of U.S. provisional
	Application Serial No. 09/504.793 filed 2/15/01 (CC-3168) which is a continuation of SN 09/244.463 filed 2/5/99 (cc-3166-abandoned) which is a divisional of 08/844,515 filed 4/18/97 Patent No. 5,955.527 (cc3163) which is a divisional of SN 08/472,841 filed 6/7/95 Patent No. 5,639.815 (cc-3161) which is a continuation of SN 08/061,939 filed 5/17/93 (cc-3158-abandoned) which is a divisional of SN 07/688,906 filed 3/13/91 (cc-3151-abandoned) which is a divisional of SN 07/340,416 filed 3/23/89 Patent No. 5,021,515 (cc-3135) which is a 371 of PCT/GB88/0532 filed 7/6/88 which claims priority to Great Britain application no. 8717754 filed on 7/27/87 and Great Britain application no. 8805931 filed 3/12/88 and Great Britain application no. 8806752 filed 3/22/88 and Great Britain application no. 8815699.7 filed 7/1/88.
	Signed Statement attached deleting inventor(s) named in the prior application.
☒	A Preliminary Amendment.
$\boxtimes$	3 Sheets of Formal Drawings.
	Drawing view to publish: _3
	Petition to Accept Photographic Drawings.
	☐ Petition Fee
	An $\square$ Executed $\square$ Unexecuted Declaration or Oath and Power of Attorney.
П	An Associate Person of Attorney

•	DOCI	KET NO.: CC-3169 - 4 - PATEN					
		An   Executed Copy of Executed Assignment of the Invention to					
		☐ A Recordation Form Cover Sheet. ☐ Recordation Fee - \$40.00.					
	$\boxtimes$	The prior application is assigned of record to <u>Crown Cork &amp; Seal Technologies</u> <u>Corporation</u>					
		Priority is claimed under 35 U.S.C. § 119 of Patent Application No.  filed					
		☐ Please enter the following amendment to the first sentence of the specification (or create such a sentence): "This application claims benefit of international application No, which was published under PCT Article 21(2) in English."					
		$Applicant(s)\ by\ its/their\ undersigned\ attorney,\ claims\ small\ entity\ status\ under\ 37$ C.F.R. $\S1.27\ as:$					
		an Independent Inventor					
		☐ a Small Business Concern					
		☐ a Nonprofit Organization.					
		Diskette Containing DNA/Amino Acid Sequence Information.					
		Statement to Support Submission of DNA/Amino Acid Sequence Information.					
		Sequence listing consisting of pages					
		The computer readable form in this application, is identical with that filed in Application Serial Number filed In accordance with 37					

CFR 1.821(e), please use the inst-filed, iast-filed or only computer readable form filed in that application as the computer readable form for the instant

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	application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing is $\square$ included in the originally-filed specification of the instant application, $\square$ included in a separately filed preliminary amendment for incorporation into the specification.
	Information Disclosure Statement.  Attached Form 1449.  Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
	A copy of Petition for Extension of Time as filed in the prior case.
	Appended Material as follows:
⊠	Return Receipt Postcard (should be specifically itemized).
⊠	Other as follows: Copy of Power of Attorney with Revocation and Certificate Under 37 CFR 3.73(b)- with attachments as noted therein: and copy of Notice Regarding Power of Attorney dated 7/3/01confirming the Patent Office's acceptance of same.

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## FEE CALCULATION:

Cancel in this application original claims <u>2-38</u> of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

			SMALL ENTITY		NOT SMALL ENTITY		
×				RATE	FEE.	RATE	FEE
DESIGN APPLICATION			\$165.00	s	\$330.00	\$	
UTILITY APPLICATIONS BASE FEE			\$370.00	\$	\$740.00	\$ 740	
UTILITY APPLICATION; ALL CLAIMS CALCULATED AFTER ENTRY OF ALL AMENDMENTS							
88		No. Filed	No. Extra			******	
	TOTAL CLAIMS	30 - 20 =	10	\$9 each	\$	\$18 each	\$ 180
8	INDEP. CLAIMS	3 -3=		\$42 cach	\$	\$84 each	\$
No. Filed   No. Extra			\$140	\$	\$280	s	
ADDITIONAL FILING FEE				\$	**********	\$	
TOTAL FILING FEE DUE				\$		\$ 920.00	

- A Check is enclosed in the amount of \$ 920.00
- The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
  - ☐ The foregoing amount due.
  - Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
  - Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).
- The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is

further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

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 Oct 30,2001

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